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**AP/ADMS 3660 Section R**

**Business Ethics and Corporate Social Responsibility**

School of Administrative Studies, Faculty of Liberal and Professional Studies

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| **ONLINE COURSE DELIVERY** |

* This course will be delivered in person. However, quizzes will be online and asynchronous.

**Lectures: Wednesday at 7pm in DB 0005**

Course Director:Allan Greenbaum, PhD

E-mail: [allanjgreenbaum@gmail.com](mailto:allanjgreenbaum@gmail.com) eggplant@yorku.ca

Office hours: Scheduled by appointment.

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| **COURSE DESCRIPTION** |

This course introduces students to the relevant issues in ethics and social responsibility in business via three pillars: good management, good company and good citizenship. After completing this course students leave equipped with conceptual tools to identify, think critically about, and resolve ethical issues at the individual, organizational, and societal levels.

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| **LEARNING OBJECTIVES** |

* To increase the awareness of the ethical dimension of business and its decision-making process across all functions.
* To become familiar with the social standards, values, ethical principles and moral philosophy that provide criteria for decision making.
* The role of business ethics in the Canadian as well as global business environment and to recognize the challenges of business social responsibility.
* To develop critical thinking skills via the application of concepts and theories to business cases.

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| **COURSE MATERIAL** |

* **Textbook:** Text – *An Introduction to Business Ethics*, Joseph DesJardins, 6th Edition. (2020), New York: McGraw-Hill/Irwin.
* **Additional readings:** indicated in this syllabus. Some are available on the public internet and linked in this syllabus. Assigned readings that are not linked in this syllabus are scholarly journal articles available through the York University library website. To access them, go to the York University Libraries website and enter the name of the article into the Omni search field.
* **PowerPoint** slides (also posted on eClass)

**You are expected to prepare course material as indicated on the eClass website before the class.**

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| **IMPORTANT DATES** |

Last date to drop course without receiving a grade: March 11

Reading Week: February 17-23

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| **STUDENT ASSESSMENTS & DATES** |

**1) Quizzes** (**30%): Individual open book timed quizzes**

**Quizzes** will be in multiple-choice format and administered on eClass. The days on which the quizzes will occur are indicated in the course schedule below. Each quiz will comprise 10 questions and you will have 10 minutes to complete the quiz once you start. Each quiz will be available for 24 hours on the date indicated. In the event you miss a quiz you must provide proof of technical difficulties on the day that the quiz was open.

**Quiz # 1:** February 7 (covering material in units 1-4).

**Quiz # 2**: March 13 (covering material in units 5, 7 and 8)

**Quiz # 3:** April 3 (covering material in units 9,10 and 11)

**2) Midterm exam (20%)**

February 14 in class.

**3) Class Participation (10%)**

Bring a sheet of paper (your “participation log”) to jot down questions, comments, answers to instructor’s questions, etc. (but NOT lecture notes). Logs will be graded out of 1 (with .5 for a blank sheet with just your name), depending on the degree of engagement indicated.

**4) Case Analysis Assignment (40%): Group Project**

**Due: Friday April 5**

This grade component is made up of:

* Group assignment (40%)
* Peer assessment (possible 20% deduction)

Further instructions will be provided on how to prepare and submit the assignment. I will be dividing the group into randomly assigned groups. I will also be creating a group discussion forum to facilitate communication among group members and communication to group members by me. On the day of class 9 the group is expected to submit their group topic to me by email. Late submission of the topic will result in delayed feedback on the topic.

Late assignments and late peer assessments will be subject to a grade deduction of 5% per late day submission.

Your individual final assignment mark has two components: the group assignment mark, and a peer assessment mark worth a possible 20% deduction from the total assignment grade. The maximum total mark is fixed at the group assignment grade as marked by the instructor. The peer assessment score confirms or reduces the overall assignment grade depending on the student performance in the group as graded by the other group members. This mark distribution penalizes those students who receive less than 8/10 on their combined peer assessments score. So, for example, if your final assignment score is 80%, your individual grade on the assignment would be 80% if your peer assessment score was 16/20 or better. It would be 70% if your peer assessment was 8/20, and 60% if your peer assessment was 0/20. The grades will be further adjusted so that the average grade for the final assignment component among group members approximates the grade received by the final assignment itself.

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| **CLASS SCHEDULE** |

**In the schedule below, JD refers to Joseph DesJardins *An Introduction to Business Ethics***

**1. January 10.**  **Introduction to the course**

JD chapter 1

**2. January 17. Introduction to ethics and ethical theories.**

JD chapters 2 and 5

Norman Bowie “[A Kantian approach to business ethics](http://www.blackwellpublishing.com/content/BPL_Images/Content_store/Sample_chapter/1405101024\001.pdf)”

**3. January 24. Corporate social responsibility.**

JD chapter 3

H. Smith (2003) “[The shareholders vs stakeholders debate](https://ocul-yor.primo.exlibrisgroup.com/discovery/fulldisplay?docid=cdi_proquest_journals_2309258214&context=PC&vid=01OCUL_YOR:YOR_DEFAULT&lang=en&search_scope=OCULDiscoveryNetwork&adaptor=Primo%20Central&tab=OCULDiscoveryNetwork&query=any,contains,The%20shareholders%20vs%20stakeholders%20debate&mode=basic)” *MIT Sloan Management Review* 15 July 2003

**4. January 31. CSR and economics**

L. Zingales (2020) “[Friedman’s Legacy: From Doctrine to Theorem](https://promarket.org/2020/10/13/milton-friedman-legacy-doctrine-theorem/)”

J. Heath (2006) “[Business Ethics Without Stakeholders](http://www.chass.utoronto.ca/~jheath/BEwithout.pdf),” *Business Ethics Quarterly*, 16(3): 533-557 (read from the last paragraph of p. 537 to the middle of p. 552.

R. Shapira and L. Zingales (2017) “[Is Pollution Value-Maximizing? The DuPont Case](https://corpgov.law.harvard.edu/2017/10/17/is-pollution-value-maximizing/)

**5. February 7. Corporate culture; ESG reporting**

JD chapter 4

Business Roundtable (2019) “[Statement on the Purpose of a Corporation](https://opportunity.businessroundtable.org/ourcommitment/)

A. Raghunandan and S. Rajgopal (2021) “[Do socially responsible firms walk the talk?”](https://blogs.law.ox.ac.uk/business-law-blog/blog/2021/06/do-socially-responsible-firms-walk-talk)

N. Buck (2023) “[The Climate Blockers: BASF quietly lobbies against strong climate policy while talking a big game](https://www.corporateknights.com/category-climate/the-climate-blockers-basf-quietly-lobbies-against-strong-climate-policy-while-talking-a-big-game/)” *Corporate Knights* 25 Jan 23

**Quiz # 1 (online)**

**6. February 14**

**Midterm exam (in class)**

**February 21 Reading week. No class.**

**7. February 28. Employee rights; stakeholder rights and corporate restructuring**

JD chapters 6 and 11

Alec MacGillis (2013) “[Peabody Energy’s coal train has hauled health-care benefits away](http://www.newrepublic.com/article/113342/judge-approves-peabodypatriot-move-against-retired-coal-miners)” *The New Republic* 30 May 2013

M. Spector and D. Levine (2022) “[Inside J&J's secret plan to cap litigation payouts to cancer victims](https://financialpost.com/pmn/business-pmn/inside-jjs-secret-plan-to-cap-litigation-payouts-to-cancer-victims-4)” *FP* 4 Feb 2022

**8. March 6. Employee and professional duties**

JD chapter 7

Jennifer Moore (1990) “What is really unethical about insider trading?” *Journal of Business Ethics* 9(3):171-82

Patricia Werhane (1991) “The indefensibility of insider trading.” *Journal of Business Ethics* 10(9):729-31

P J Engelen and Luc van Liedekirke (2007) “The ethics of insider trading revisited” *Journal of Business Ethics* 74(4): 497-507

**9. March 13. Marketing and advertising**

DJ chapters 8 and 9

H. Hadero (2023) “[Amazon is accused of enrolling consumers into Prime without consent and making it hard to cancel](https://www.cp24.com/world/amazon-is-accused-of-enrolling-consumers-into-prime-without-consent-and-making-it-hard-to-cancel-1.6450486)” AP

[The Nag Factor](https://www.youtube.com/watch?v=CsqAipCnJEA&ab_channel=HowManyKnow) (video excerpt from “The Corporation”)

C. Kelloway (2023) “[As food prices keep rising, study finds market power drove pandemic inflation](https://www.foodandpower.net/latest/seller-driven-inflation-market-power-study-may-2023).”

**Quiz #2 (online)**

**Group project topics due.**

**10. March 20. Sustainability**

JD chapter 10

P. Ekins and D. Zenghelis (2021) “[The costs and benefits of environmental sustainability](https://link.springer.com/article/10.1007/s11625-021-00910-5)” *Sustainability Science* 16:949–965

Banking on Climate Chaos [Fossil fuel finance report 2022 summary](https://www.bankingonclimatechaos.org/bankingonclimatechaos2022/).

E. Ellmen (2023) “[Stronger climate regulations for banks might not actually cut emissions](https://www.corporateknights.com/category-finance/stronger-climate-regulations-for-banks-might-not-actually-cut-emissions/)” *Corporate Knights* 14 March 2023

N. Buck (2023) “[The Climate Blockers: BASF quietly lobbies against strong climate policy while talking a big game](https://www.corporateknights.com/category-climate/the-climate-blockers-basf-quietly-lobbies-against-strong-climate-policy-while-talking-a-big-game/)” *Corporate Knights* 25 Jan 23

**11. March 27. Global issues**

JD chapter 12

Ian Maitland 1997 ‘[The great non-debate over international sweatshops](http://philosophia.uncg.edu/media/phi361-metivier/readings/Maitland-Great%20Non-Debate.pdf)” British Academy of Management Annual Conference Proceedings

D. Arnold and N. Bowie (2003) “Sweatshops and respect for persons” *Business Ethics Quarterly* 13(2): 221-42

G. LeBaron et al (2022) “[Social auditing and ethical certification](https://static1.squarespace.com/static/6055c0601c885456ba8c962a/t/62d746146f5dc5205a17621c/1658275349325/ReStructureLab_SocialAuditingandEthicalCertification_July2022.pdf)” RestructureLab July 2022

Karl Hofstetter (2022) “[From ‘Corporate Social Responsibility’ to ‘Corporate Social Liability’?”](https://blogs.law.ox.ac.uk/oblb/blog-post/2022/12/corporate-social-responsibility-corporate-social-liability)

**12. April 3. Group project progress discussion**

**Quiz # 3 (online)**

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| **RELEVANT UNIVERSITY REGULATIONS** |

**Academic Honesty**

The Faculty of Liberal Arts and Professional Studies considers breaches of the Senate Policy on Academic Honesty to be serious matters. To quote the Senate Policy on Academic Honesty. The Policy on Academic Honesty is an affirmation and clarification for members of the University of the general obligation to maintain the highest standards of academic honesty. As a clear sense of academic honesty and responsibility is fundamental to good scholarship, the policy recognizes the general responsibility of all faculty members to foster acceptable standards of academic conduct and of the student to be mindful of and abide by such standards. Suspected breaches of academic honesty will be investigated, and charges shall be laid if reasonable and probable grounds exist.

Students who engage in academic dishonesty can be subject to disciplinary action under the [Senate Policy on Academic Honesty](https://secretariat-policies.info.yorku.ca/policies/academic-honesty-senate-policy-on/). Lack of familiarity with the Senate Policy and Guidelines on Academic Honesty does not constitute a defense against their application. Some academic offences can also constitute offences under the Criminal Code of Canada, which means that you, as a student, may also be subject to criminal charges.

Students should review the York Academic Honesty policy for themselves at:  
<http://www.yorku.ca/secretariat/policies/document.php?document=69>

Students might also wish to review the interactive on-line Tutorial for students on academic integrity, at: <http://www.yorku.ca/tutorial/academic_integrity/>

A1. Senate Policy on Academic Honesty

The Policy on Academic Honesty is an affirmation and clarification for members of the University of the general obligation to maintain the highest standards of academic honesty. As a clear sense of academic honesty and responsibility is fundamental to good scholarship, the policy recognizes the general responsibility of all faculty members to foster acceptable standards of academic conduct and of the student to be mindful of and abide by such standards.

Academic honesty requires that persons do not falsely claim credit for the ideas, writing or other intellectual property of others, either by presenting such works as their own or through impersonation. Similarly, academic honesty requires that persons do not cheat (attempt to gain an improper advantage in an academic evaluation), nor attempt or actually alter, suppress, falsify or fabricate any research data or results, official academic record, application or document. Finally, academic honesty requires that persons do not aid or abet others to commit an offence of academic dishonesty, including intentional acts to disrupt academic activities.

Suspected breaches of academic honesty will be investigated and charges shall be laid if reasonable and probable grounds exist. A student who is charged with a breach of academic honesty shall be presumed innocent until, based upon clear and compelling evidence, a committee determines the student has violated the academic honesty standards of the university. A finding of academic misconduct will lead to the range of penalties described in the guidelines which accompany this policy. In some cases, the University regulations on non-academic discipline may apply. A lack of familiarity with the Senate Policy and Guidelines on Academic Honesty on the part of a student does not constitute a defence against their application. Some academic offences constitute offences under the Criminal Code of Canada; a student charged under University regulations may also be subject to criminal charges. Charges may also be laid against York University students for matters which arise at other educational institutions.

**2. Senate Guidelines on Academic Honesty**

2.1 Summary of Offences Against the Standards of Academic Honesty

The following summary of offences is not exhaustive, nor are the definitions provided for each offence confined to the examples cited.

2.1.1 Cheating is the attempt to gain an improper advantage in an academic evaluation. Forms of cheating include:

•Obtaining a copy of an examination before it is officially available or learning an examination question before it is officially available;

•Copying another person’s answer to an examination question;

•Consulting an unauthorized source during an examination;

•Disruption of an academic evaluation by any means;

•Obtaining assistance by means of documentary, electronic or other aids which are not approved by the instructor;

•Changing a score or a record of an examination result;

•Submitting the work one has done for one class or project to a second class, or as a second project, without the prior informed consent of the relevant instructors;

•Submitting work prepared in collaboration with another or other member(s)of a class when collaborative work on a project has not been authorized by the instructor;

•Submitting work prepared in whole or in part by another person and representing that work as one's own;

•Offering for sale essays or other assignments, in whole or in part, with the expectation that these works will be submitted by a student for appraisal;

•Preparing work in whole or in part, with the expectation that this work will be submitted by a student for appraisal.

2.1.2 Impersonation is to have someone impersonate one’s self in class, in a test, examination or interview, or in connection with any other type of assignment or placement associated with a course or academic program. Both the impersonator and the individual impersonated may be charged.

2.1.3 Plagiarism is the misappropriation of the work of another by representing another person’s ideas, writing or other intellectual property as one’s own. This includes the presentation of all or part of another person’s work as something one has written, paraphrasing another’s writing without proper acknowledgement, or representing another’s artistic or technical work or creation as one’s own. Any use of the work of others, whether published, unpublished or posted electronically, attributed or anonymous, must include proper acknowledgement.

2.1.4 Improper research practices. Academic research includes the collection, analysis, interpretation and publication of information or data obtained in the scientific laboratory or in the field. Forms of improper research practices include:

•Dishonest reporting of investigative results, either through fabrication or falsification;

•Taking or using the research results of others without permission or due acknowledgement

•Misrepresentation or selective reporting of research results or the methods used.

2.1.5 Dishonesty in publication. It is a violation of academic honesty to knowingly publish information that will mislead or deceive readers. This includes the falsification or fabrication of data or information, as well as the failure to give credit to collaborators as joint authors or the listing as authors of others who have not contributed to the work. Plagiarism is also considered a form of dishonesty in publication.

2.1.6 Dissemination of information without permission. Information or experimental data that was collected with a member of faculty or another student, and other works that involved the participation of a faculty member or another student, should not be submitted for publication or otherwise disseminated without their permission.

2.1.7 Abuse of confidentiality. Taking or releasing the ideas or data of others that were given with the expectation that they are confidential is inappropriate. This includes the ideas or data obtained via the evaluation of confidential grant proposals, award applications or manuscripts that will be or may have been submitted for possible funding or publication. Unless one is authorized to do so, it is improper to obtain a password assigned to another or to copy or modify a data file or program belonging to someone else. Proper authorization means being granted permission either by the owner or originator of that material, or by an appropriate faculty member or administrator.

2.1.8 Falsification or unauthorized modification of an academic document/record. It is a breach of academic honesty to falsify, fabricate or in any way modify, either through omission or commission, an application to the University or a program, course student examination or test, transcript, grade, letter of recommendation or related document, a degree, a physician’s letter/form or any other document used in support of an academic application, record, petition/appeal or endeavor.

2.1.9 Obstruction of the academic activities of another. It is a violation of academic honesty to interfere with the scholarly activities of another in order to harass or gain unfair academic advantage. This includes interference or tampering with experimental data, with a human or animal subject, with a written or other creation (e.g., a painting, sculpture or film), with a chemical used for scientific study, or with any other object of study.

2.1.10 Aiding and abetting. Encouraging, enabling or causing others to do or attempt any of the above with intent to mislead an instructor, academic unit, program, office or committee as to a student's academic status, qualifications, actions or preparation, or knowingly aiding or abetting anyone in a breach of academic honesty shall itself be considered misconduct. Taking any action which can reasonably be interpreted as intending to encourage or enable others to commit an offence of academic honesty.

Students are encouraged to review this policy in its entirety at:

http://www.yorku.ca/secretariat/policies/document.php?document=69s a student at York University, you have a responsibility to not only understand, but also play an important part in upholding the integrity of the academic experience. The Faculty of Liberal Arts and Professional Studies at York University supports the International Center for Academic Integrity’s [definition of academic integrity](https://academicintegrity.org/fundamental-values/). That is, you will be committed to acting in all academic matters, even in the face of adversity, with honesty, trust, fairness, courage, respect and responsibility.

How can you demonstrate academic integrity in the completion of your course?

* Respect the ideas of others: Your course work should represent your own knowledge and ideas. You should not falsely claim credit for ideas that are not your own, by presenting another’s work as yours. If you are quoting, paraphrasing, or summarizing another person’s work in order to support your own ideas, identify the work and the author through proper citation practices. For more information about how to cite properly, use the [Student Papers and Academic Research Kit](https://spark.library.yorku.ca/academic-integrity-using-and-citing-sources/) (SPARK). You can improve your writing, research, and personal learning abilities through the [Learning Commons](http://learningcommons.yorku.ca/).
* Respect your peers: Know when you are allowed to collaborate. Ask your instructor about what group work entails when it comes to the sharing of work. In test situations and assignments, don’t steal or give answers to your peers. Cheating and aiding in a breach of academic honesty are both against York University’s academic honesty policy.
* Respect your course instructor(s): Understand what the instructors are asking of you in class, in assignments, and in exams. If you are unsure, ask your professor or teaching assistant. They are committed to making you feel supported, and want to assess you fairly and with integrity. Please do not submit the same piece of work for more than one course without your instructor’s permission.
* Respect yourself: When you act with integrity, you know that your work is yours and yours alone. You do not allow others to impersonate you on tests and exams. You do not buy or otherwise obtain term papers or assignments. You do the work. As a result, you know that you*earned* the grades that you receive, so you can be proud of your York degree. By acting with integrity in your course work, you are also practicing a valuable professional skill that is important in all workplaces.
* Take responsibility: If you have acted in an academically dishonest way, you can demonstrate courage and take responsibility for your mistake. You can admit your mistake to your course instructor as soon as possible.

**Turnitin:**

To promote academic integrity in this course, students will be normally required to submit their written assignments to Turnitin (via the course eClass) for a review of textual similarity and the detection of possible plagiarism. In so doing, students will allow their material to be included as source documents in the Turnitin.com reference database, where they will be used only for the purpose of detecting plagiarism. The terms that apply to the University’s use of the Turnitin service are described on the Turnitin.com website.

**Policy on citing AI-generated material**:

According to the Senate Policy on Academic Honesty (see below), all work that you submit for evaluation must be completed by you and you alone, unless otherwise specified.

When using any part of an AI-generated response, you must also cite the AI in your bibliography. When directly quoting text or any other material taken from the generative AI, use an in-text citation using APA style: see “[How to cite ChatGPT](https://apastyle.apa.org/blog/how-to-cite-chatgpt)”. All paraphrased AI-generated material should include the same kind of in-text citation, but without quotation marks.

**Religious Accommodation**

York University is committed to respecting the religious beliefs and practices of all members of the community, and making accommodations for observances of special significance to adherents. For more information on religious accommodation, please visit:  
<https://w2prod.sis.yorku.ca/Apps/WebObjects/cdm.woa/wa/regobs>

**Academic Accommodation for Students with Disabilities**

The nature and extent of accommodation shall be consistent with and supportive of the integrity of the curriculum and of the academic standards of programs or courses. Provided that students have given sufficient notice about their accommodation needs, instructors shall take reasonable steps to accommodate these needs in a manner consistent with the guidelines established hereunder. For more information, please visit the Disabilities Services website at <http://www.yorku.ca/cds/>

During regular course delivery York’s disabilities offices and the Registrar’s Office work in partnership to support alternate exam and test accommodation services for students with disabilities at the Keele campus. For more information on alternate exams and tests please visit <http://www.yorku.ca/altexams/>

**Please let the course instructor(s) know as early as possible in the term if you anticipate requiring academic accommodation, so that your accommodation needs can be discussed and considered within the context of this course.**

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| **INTELLECTUAL PROPERTY NOTICE** |

All materials prepared for ADMS 3600 at York University are the intellectual property of the instructor unless otherwise stated. Course materials should only be used by students enrolled in this course. This can include the following material: lecture handouts, spoken and written presentations, audio and video recordings, PowerPoint slides, as well as questions and/or solution sets for assignments, quizzes, tests and final exams, among other pieces of intellectual property. As a student in this course, you may not publish, post on an Internet site, sell, or otherwise distribute any of this work without the instructor’s express permission. Unauthorized or commercial use of these materials is strictly prohibited. Third party copyrighted materials (such as book chapters, journal articles, music, videos, etc.) have either been licensed for use in this course, or fall under an exception or limitation in Canadian copyright law. Copying this material for distribution (e.g. uploading material to a commercial third-party website, or online sharing of course material with people outside of the course) may lead to a charge of misconduct under York’s [Code of Student Rights and Responsibilities](http://secretariat-policies.info.yorku.ca/policies/code-of-student-rights-and-responsibilities-presidential-regulation/) and the Senate Policy on [Academic Honesty](http://secretariat-policies.info.yorku.ca/policies/academic-honesty-senate-policy-on/). In addition, you may face legal consequences for any violation of copyright law.